

(I) Pseudo-hol. sections (compact base)

**Def**  $\pi: E^{2n+2} \downarrow S$  exact Lag. fibration, a

Lag. bdry condition is a submfld  $F^{n+1}$  of  $E$ ,  
 $F \subseteq \partial^V E$ , s.t.  $\exists \alpha_F \in \Omega^1(\partial S)$ ,  $h_F \in C^\infty(F, \mathbb{R})$ , s.t.  
 $\theta_{E/F} = (\pi|_F)^*(\alpha_F + \theta_S|_{\partial S}) + dh_F$

observe:  $\rightarrow F$  is Lagrangian  
 $\rightarrow \forall z \in \partial S$ ,  $F_z$  exact Lag., carried  
into each other by // transport along  $\partial S$ .

**Def** (Fix a Lag. bdry cond.  $F$ ).

A rel. perturbation datum is  $(K, J)$

\*  $K \in \Omega^1(E)$ ,  $K(\pi|_F) = 0$ ,  $K|_F = 0 \in \Omega^1(F)$

$K=0$  near  $\partial^h E \cup \text{crit}(\pi)$

\*  $J$ ,  $\omega_E$  compatible a.c.s. s.t.  $\pi$  is pseudo-hd.

$J = I_E$  near  $\partial^h E \cup \text{crit}(\pi)$

An inhomogeneous pseudo-hol section w/ bdry in  $F$

is  $u: S \rightarrow E$  w/

- ①  $\pi(u(z)) = z$
- ②  $Du(z) + J(u) \circ D(u(z)) \circ I_{S_z} = Y(u) + J(u) \circ Y(u) \circ I_{S_z}$
- ③  $u(\partial S) \subseteq F$

$\left\{ \begin{array}{l} Y \text{ determined by } K: \text{ a section of } \text{Hom}(\pi^* TS, TE^V) \\ \text{Harm. vect. field on } E_z \text{ of } K|_{E_z} \end{array} \right.$

$$\begin{array}{ccc} (X_K)_x & = & Y(z)x \\ \nearrow \text{Harm. vect. field on } E_z & & \downarrow \\ \xi_{E(TS)}_z & & \end{array}$$

$\pi(x) = z \in S$

$M_{E/S} = \text{moduli space } \{ u: S \rightarrow E \mid \text{①, ②, ③} \}$

- Take generic  $(K, J)$ , so it's a closed mfd

$$\# M_{E/S} \in \mathbb{Z}/2$$

**Lemma**  $\# M_{E/S} = 0$ ,  $S$  a disc with a single critical pt,  $F_z = V$  for  $z \in \partial S$ .

Reason: Use cobordism argument to compare it to the local model.

Another reason: if assume  $\text{rc}_1(M, V) = 0 \in H^2(M, V)$ , can compute

$$\begin{aligned} \dim M_{E/S} &= \text{ind}(D_{E/S}, u) \text{ directly} \\ &= 2n-1 \end{aligned}$$

**Ex** (Local model)

$$E = \{ x \in \mathbb{C}^{n+1} \mid Q(x) \leq r, |K(x)| \leq R \}$$

$$\downarrow Q: (x_1, \dots, x_{n+1}) \mapsto (\sum_i x_i^2) = z$$

$$D^2(r) \quad \theta_E = \frac{i}{4} \sum_j x_j d\bar{x}_j - \bar{x}_j dx_j$$

\* Lag. bdry condition:

$F_z = \sqrt{z} S^n$ ,  $|z|=r$ , vanishing cycles,  
preserved by // transport

$$F = \bigcup_{|z|=r} F_z.$$

\* Take  $(K, J) = (0, I_E)$

\* Pseudo-hol. sections w/ bdry in  $F$ :

$$\text{②, ③} \Rightarrow u_a: S \rightarrow E, u_a(z) = \frac{1}{\sqrt{r}} az + \sqrt{r} \bar{a}, a \in \mathbb{C}^{n+1}$$

$$\text{①} \Rightarrow a_1^2 + \dots + a_{n+1}^2 = 0, \|a\|^2 = \frac{1}{2}$$

$$(\Leftrightarrow \|Re a\|^2 = \|Im a\|^2 = \frac{1}{4}, \langle Re a, Im a \rangle = 0)$$

$$\star \dim M_{E/S} / D^2(r) = \text{ind } D_{E/S} = 2n-1$$

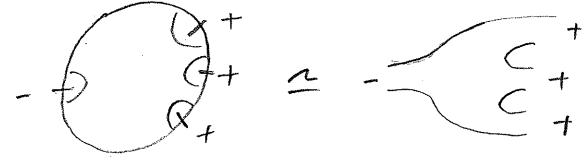
no isolated pts

$$\# M_{E/S} / D^2(r) = 0$$

## (II) Pseudo-hol. sections (w/ strip-like ends)

**Def** Left fibration w/ strip-like ends: fiber  $M$ , exact sympl. mfd

$$\begin{array}{ccc} \pi \text{ trivial} & \mathbb{Z}^{\pm} \times M & E \\ \text{on strip-like} & \downarrow & \downarrow \\ \text{ends} & & \\ E_{\xi}: \mathbb{Z}_{\xi}^{\pm} \rightarrow U_{\xi} \subseteq S & & \text{has marked pts } \{S\}_{\xi \in \Sigma^{\pm}} \\ \mathbb{R}_{\xi}^{\pm} \times [0,1] & \uparrow & \\ (\text{nbhd of } S) \setminus \{S\} & & \end{array}$$



**Def** Lagr. bdry condition

Take  $F \subseteq E \setminus \pi^{-1}(\{\text{mark pts}\})$

$$\exists (L_{\xi,0}, L_{\xi,1}) \text{ s.t. } F_{E_{\xi}(s,k)} = L_{\xi,k} \quad \forall s \quad (k=0,1) \\ \Rightarrow \alpha_F = 0 \text{ on strip-like ends}$$

**Def** (Fix  $F$ . Fix Floer datum for each pair  $(L_{\xi,0}, L_{\xi,1})$ )

A relative perturb. datum  $(K, J)$  as before, w/

additional restriction over strip-like ends:  $K(s,t,x) = H_{\xi}(t,x)dt$ ,  $J(s,t,x) = i \times J_{\xi}(t,x)$   
(they are translation invariant)

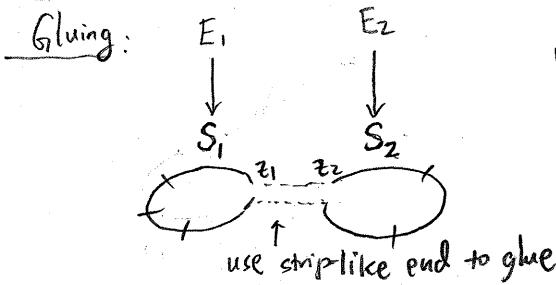
$H$  and  $J$  are Floer data for  $(L_{\xi,0}, L_{\xi,1})$  as pseudohol curve eqn and Floer eqn match for  $U: \mathbb{Z}_{\xi}^{\pm} \rightarrow E$  on strip-like ends.

Set  $M_{E/S}(\{y_{\xi}\}) = \text{moduli space } \{U, \lim_{s \rightarrow \pm\infty} U(E_{\xi}(s,\cdot)) = y_{\xi}, y_{\xi} \in \mathcal{C}(L_{\xi,0}, L_{\xi,1})\}$

$$CF_{E/S}^{\pm}: \bigotimes_{\xi^{\pm} \in \Sigma^{\pm}} CF^{pr}(L_{\xi^+,0}, L_{\xi^+,1}) \longrightarrow \bigotimes_{\xi^- \in \Sigma^-} CF^{pr}(L_{\xi^-,0}, L_{\xi^-,1})$$

$$(\bigotimes_{\xi^+ \in \Sigma^+} y_{\xi^+}) \mapsto \sum_{\xi^- \in \Sigma^-} \# M_{E/S}^0(\{y_{\xi^-}, y_{\xi^+}\}) (\bigotimes_{\xi^- \in \Sigma^-} y_{\xi^-})$$

use  $ev_{z_1}, ev_{z_2}$  to take this fiber product



$$\text{need: } (E_1)_{z_1} = M = (E_2)_{z_2}$$

$$(F_1)_{z_1} = L = (F_2)_{z_2}$$

Theorem

$$\| M_{E/S}(\{y_{\xi}\})^0 \| = \prod_{p+q=n} M_{E_1/S_1}(\{y_{\xi^+}\})^p \times_L M_{E_2/S_2}^q$$

RMK on application of Lemma)

\* Take  $S_2$  compact disk, one crit. value inside,  $L = V$

Assume  $zC_1(M, V) = 0$

$n \geq 1$ :  $\dim M_{E_2/S_2} = 2n-1 > n \Rightarrow M_{E/S}(\{y_{\xi}\})^0 = \emptyset$

$n=1$ :  $q=1, p=0$

$U_1 \in M_{E_1/S_1}(\{y_{\xi^+}\})^0$  is matched by an even # of  $U_2 \in M_{E_2/S_2}^0$  by eval. maps,  $ev_{z_1}(U_1) = ev_{z_2}(U_2)$   
So fiber product has even # of points.

**Lemma**  $(\bigoplus_{\xi^+ \in \Sigma^+} M_{E/S}(\{y_{\xi^+}\})^0) \Rightarrow (\Phi_{E/S} = 0)$

$[ev_{z_2}: M_{E/S} \rightarrow L] \in H^*(L)$  there's a choice of  $(k, j)$  s.t.  $C \Phi_{E/S} = 0$

### (III) Construction of Morphism

$2c_1(M, V) = 0$  (for applying vanishing lemmas)

$L_0 = V$ ,  $L_1, L_2$  exact Lagr.,  $L_2 \xrightarrow{\text{isotopy}} \tau_V(L_1)$

Fix Floer data for  $(L_0, L_1), (L_0, L_2), (L_1, L_2)$

& perturbation data for defining  $\mu^2: CF^{pr}(L_1, L_2) \otimes CF^{pr}(L_0, L_1) \rightarrow CF^{pr}(L_0, L_2)$

Fix Lef. fibration  $\pi_L: E \downarrow S$

w/ one critical pt and vanishing cycle  $V$ .



(can construct Lef. fibr. s.t. vanishi cycle of any vanishing path is  $V$ ; monodromy for any loop wind around crit val. once is  $\tau_V$ )

Construct a Floer cocycle:

$$\parallel C \in CF^{pr}(L_1, L_2)$$

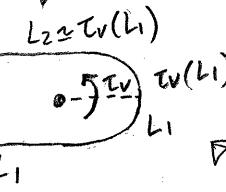
$$\parallel \mu'(C) = 0 \Rightarrow$$

b/c  $\exists$  a moduli sp of sections  
is broken strip  $M'(C)$

Construct a chain homotopy b/n  $\mu^2(C, \cdot)$  and zero map

$$\parallel K: CF^{pr}(L_0, L_1) \rightarrow CF^{pr}(L_0, L_1)$$

$$\parallel \mu'(K(\cdot)) + K(\mu'(\cdot)) + \mu^2(C, \cdot) = 0$$



$$E^c = E \setminus \pi^{-1}\{V\}$$

$$S^c = S \setminus \{V\}$$

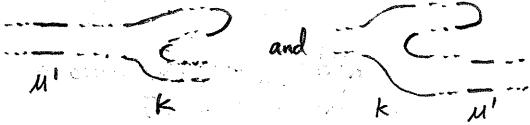
has Lag. bdy condition

$$C = (\bigoplus E^c / S^c)$$

\* Construct a family  $r \in [0, 1]$

$E^{k,r}$  of Lefschetz fibr.  
 $S^{k,r}$  w/ bdy cond.  $F^{k,r}$ ,  
a family of perturb.  
data.

\* Other isolated pts in the parametrized moduli space of sections are config. w/  
broken strips. at some of  $\{r \in (0, 1)\}$

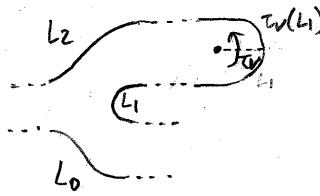


get  $k: CF^{pr}(L_0, L_1) \rightarrow CF^{pr}(L_0, L_2)$

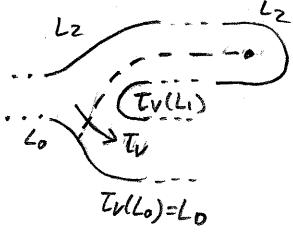
by counting these.

$r=0$  trivial fibration over  $F^{1,0}, F^{2,0}, F^{3,0}$

$\bullet$ , Lag. bdy cond.  
( $L_0, L_1, L_2$ )



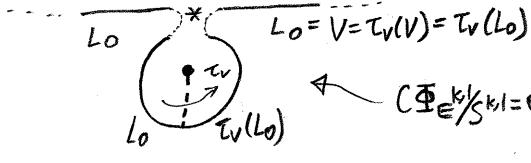
or



$$C \bigoplus_{E^{k,0}/S^{k,0}} = \mu^2(C, \cdot)$$

$r=1$  Trivial fibration over  $\mathbb{Z}$ ,

$$\dots \xrightarrow{L_2} \xrightarrow{\tau_V(L_1)} \dots$$



$(\bigoplus E^{k,1}/S^{k,1}) = 0$  due to this compact part

\* Choices are made in this construction,  
but if  $(k, k), (\tilde{k}, \tilde{k})$  are two different  
choices, then

$C = \tilde{C}$  up to homotopy given by  $\mu'$

$$k = \tilde{k} \cup \dots \cup \dots \cup \dots \cup \mu', \mu''$$